

Passive Sonar Tracking on Multibeam Intensities

Dr. Marcus L. Graham

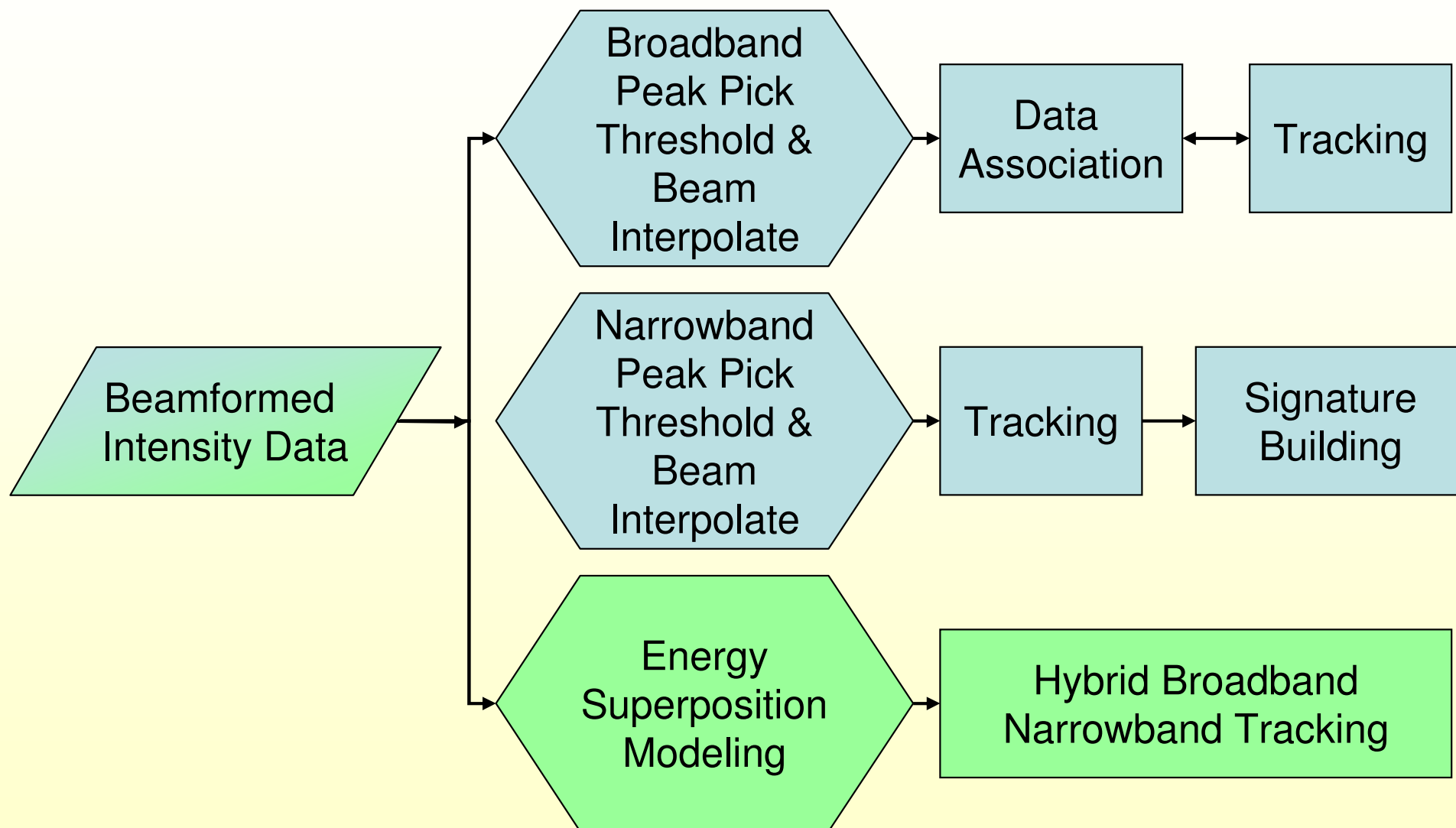
**Naval Undersea Warfare Center Division Newport
Code 2501**

GrahamML@npt.nuwc.navy.mil

**Presented at the 15th Annual
Adaptive Sensor Array Processing Workshop
5-6 June 2007**

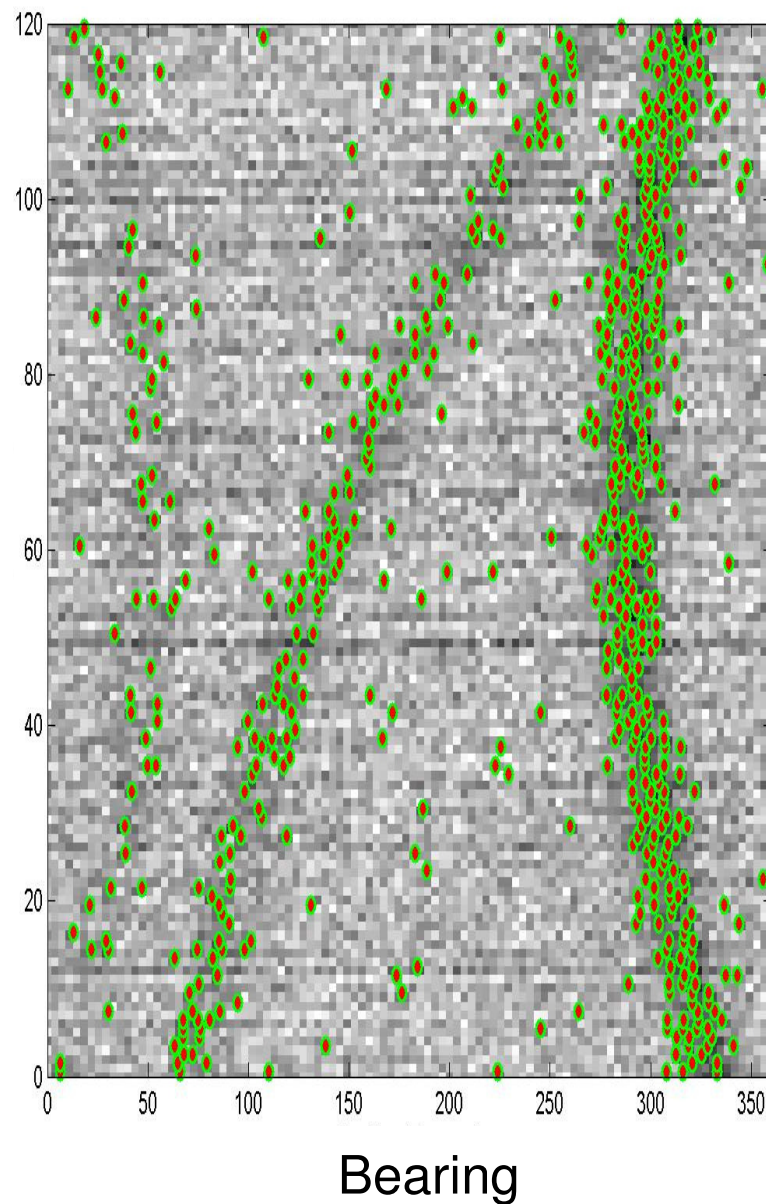
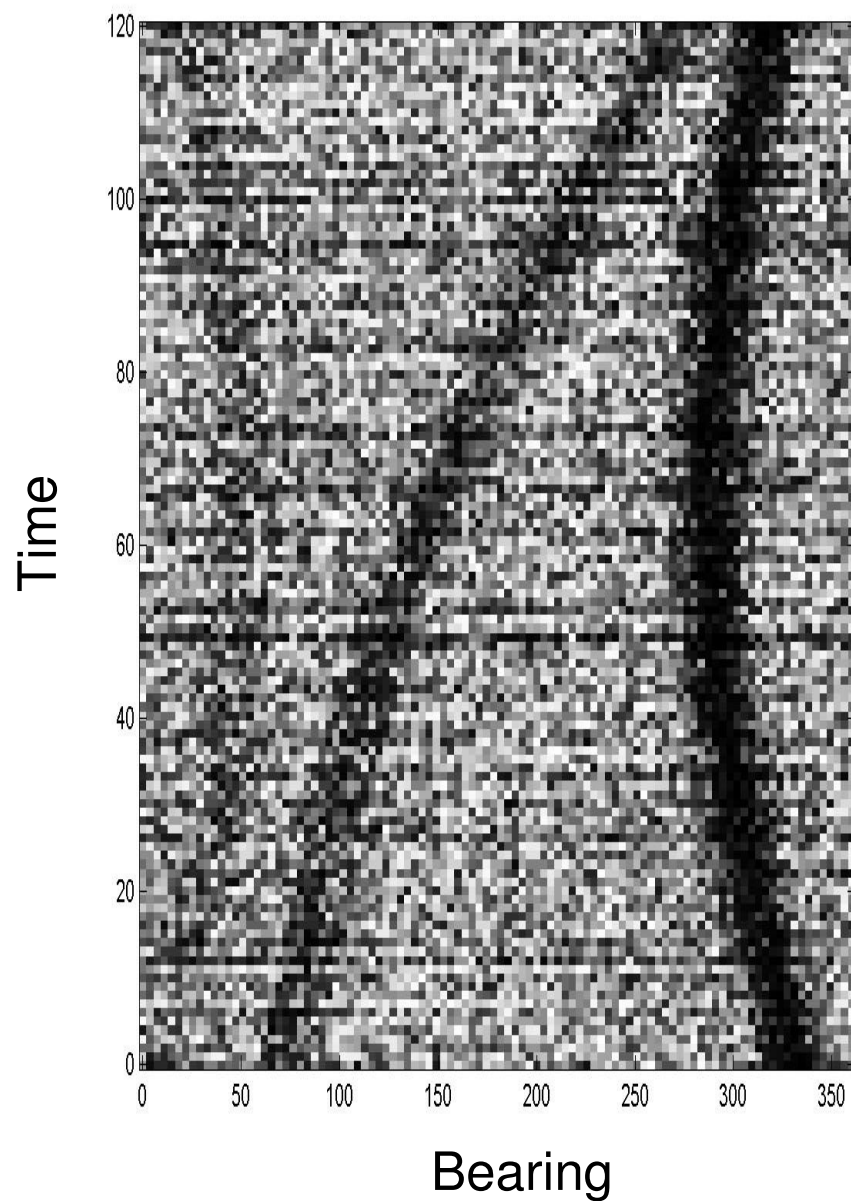
Report Documentation Page				Form Approved OMB No. 0704-0188	
Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.					
1. REPORT DATE 01 APR 2008		2. REPORT TYPE N/A		3. DATES COVERED -	
4. TITLE AND SUBTITLE Passive Sonar Tracking on Multibeam Intensities				5a. CONTRACT NUMBER	
				5b. GRANT NUMBER	
				5c. PROGRAM ELEMENT NUMBER	
6. AUTHOR(S)				5d. PROJECT NUMBER	
				5e. TASK NUMBER	
				5f. WORK UNIT NUMBER	
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) Naval Undersea Warfare Center Division Newport Code 2501				8. PERFORMING ORGANIZATION REPORT NUMBER	
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)				10. SPONSOR/MONITOR'S ACRONYM(S)	
				11. SPONSOR/MONITOR'S REPORT NUMBER(S)	
12. DISTRIBUTION/AVAILABILITY STATEMENT Approved for public release, distribution unlimited					
13. SUPPLEMENTARY NOTES See also ADM002078., The original document contains color images.					
14. ABSTRACT					
15. SUBJECT TERMS					
16. SECURITY CLASSIFICATION OF:			17. LIMITATION OF ABSTRACT UU	18. NUMBER OF PAGES 13	19a. NAME OF RESPONSIBLE PERSON
a. REPORT unclassified	b. ABSTRACT unclassified	c. THIS PAGE unclassified			

Sonar Processing Architectures



Intensity Data

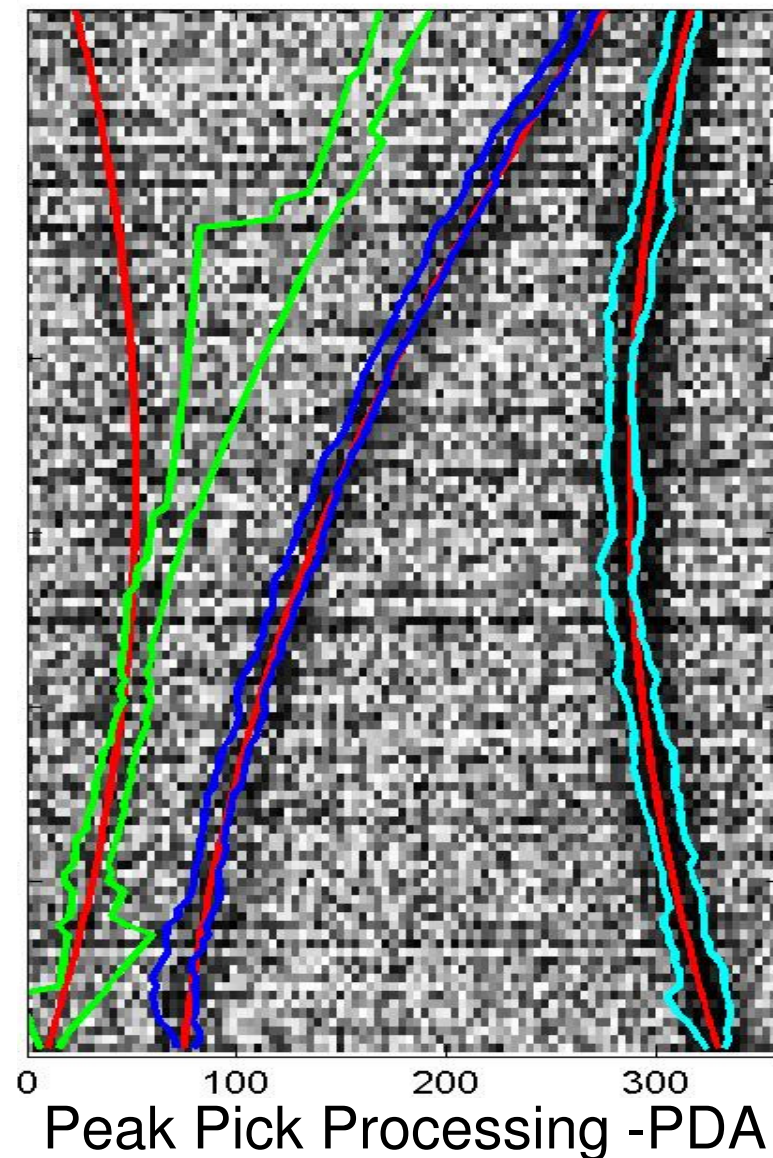
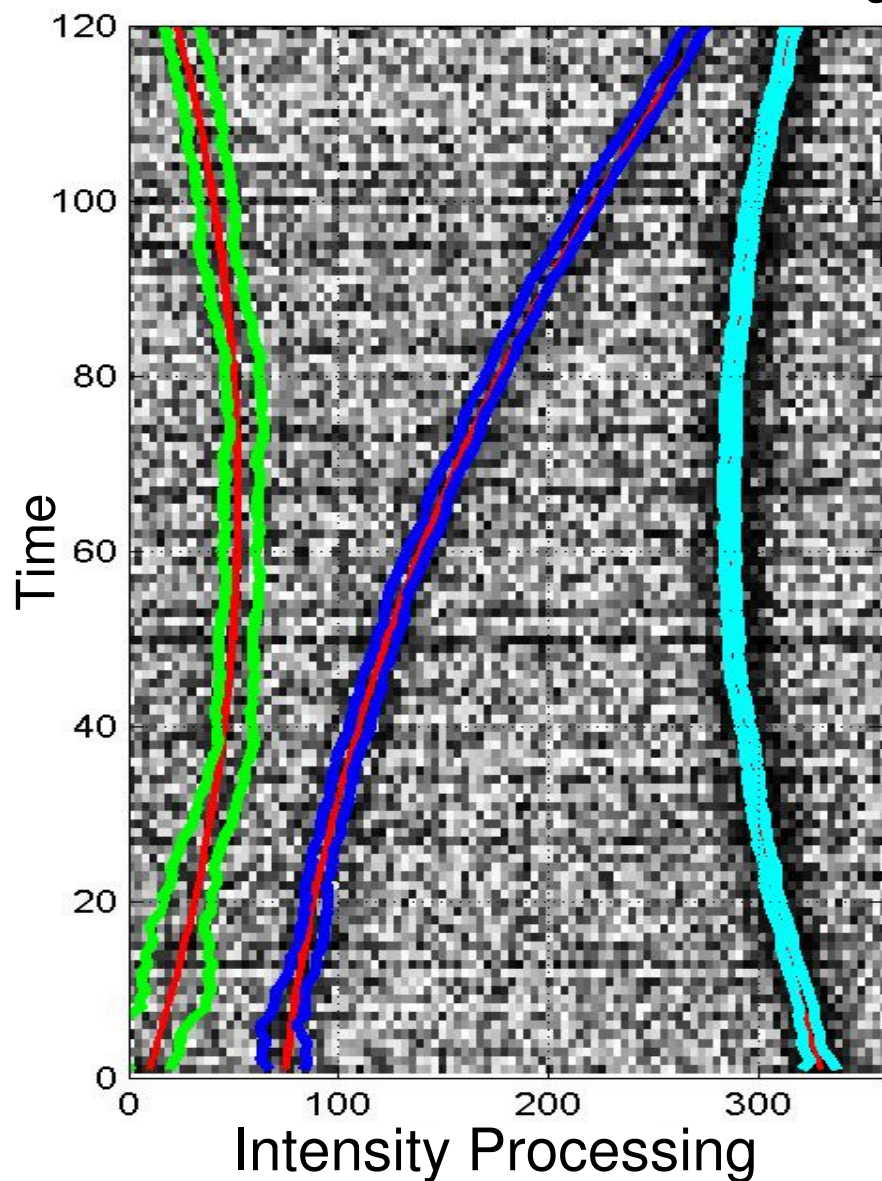
Wide Targets, Increasing Intensity



Single Target Tracking Results

Wide Targets, Increasing Intensity

Estimated ± 3 sigma overlaid on True Bearing



History

Modeling multibeam intensity as a histogram

- **Perlovsky (c. 1991), Luginbuhl (c. 1999)**
 - Interpreted cell-level sensor data amplitudes as histogram counts
- **Streit (c. 2000), Streit (c. 2001)**
 - Treated broadband intensity as a histogram
 - Modeled the superposition of energy from multiple targets using a mixture density
 - Extended histogram interpretation to frequency-azimuth domain

Direct energy superposition model

- **Ristic, Farina, Hernandez (c. 2004)**
 - Used a model of the sensor “point-spread function” to describe the distribution of energy across cells for tracking on image data
 - Applied a simple energy superposition model for developing a CRLB
 - No longer treating energy distribution as a pdf

Basic Model

- The basic superposition model

$$\mathbf{Z}_t = \{z_{t,1}, z_{t,2}, \dots, z_{t,n}\}^T = \mathbf{C}_t \mathbf{1}_n + \sum_{j=1}^k \underline{h}(x_t^j) + \underline{\eta}_t$$

- The augmented state

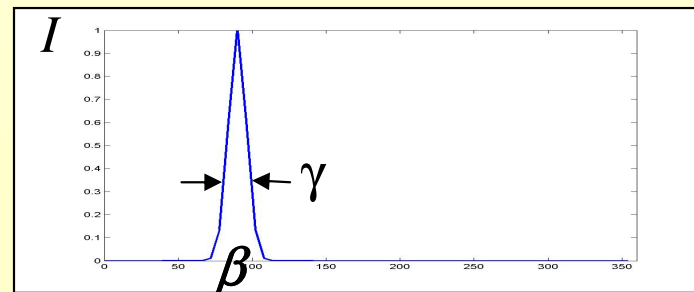
$$\mathbf{X}_t = \{ \mathbf{C}_t, (x_t^1)^T, (x_t^2)^T, \dots, (x_t^k)^T \}^T$$

$$x_t^j = \{ \beta_t^j, \dot{\beta}_t^j, I_t^j, \gamma_t^j \}^T$$

- The target viewed through the sensor point spread function

$$\underline{h}(x_t^j) = \{ h_1(x_t^j), h_2(x_t^j), h_3(x_t^j), \dots, h_n(x_t^j) \}^T$$

$$h_i(x_t^j) = I_t^j \exp \left\{ -\frac{1}{2} \frac{(\beta_i' - \beta_t^j)^2}{\gamma_t^j} \right\}$$

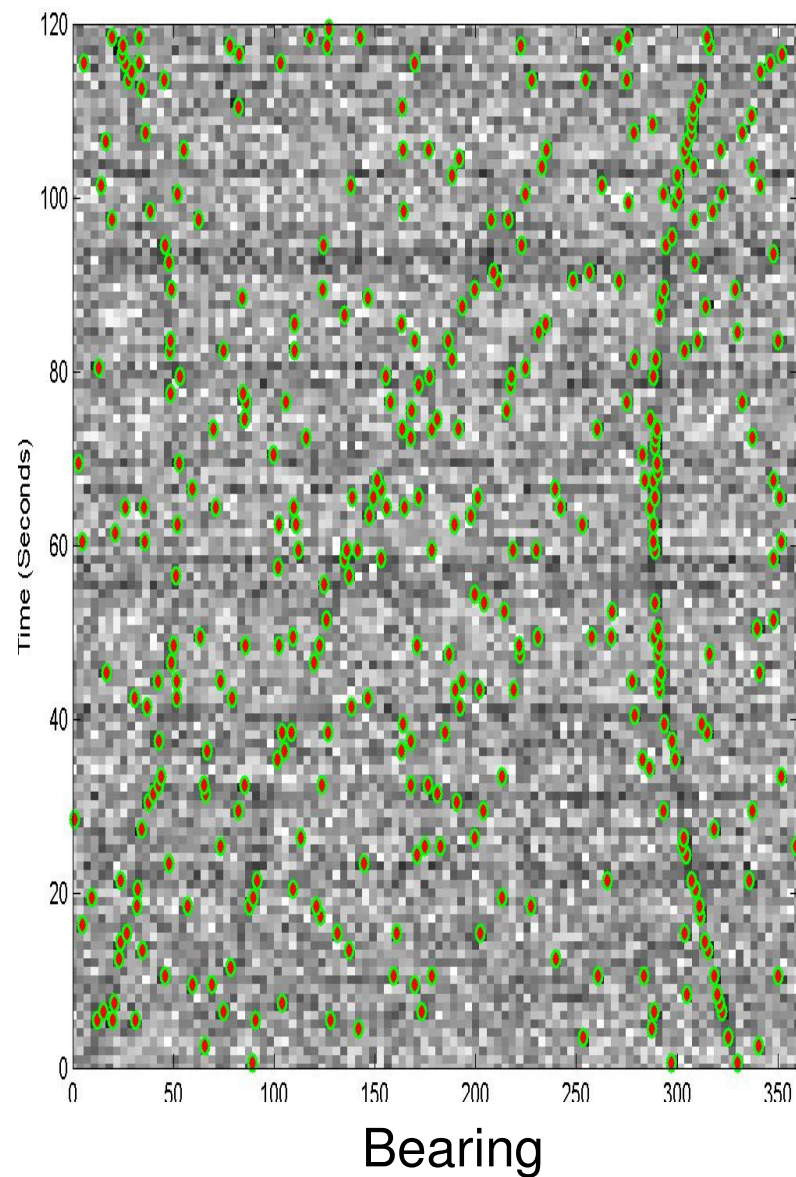
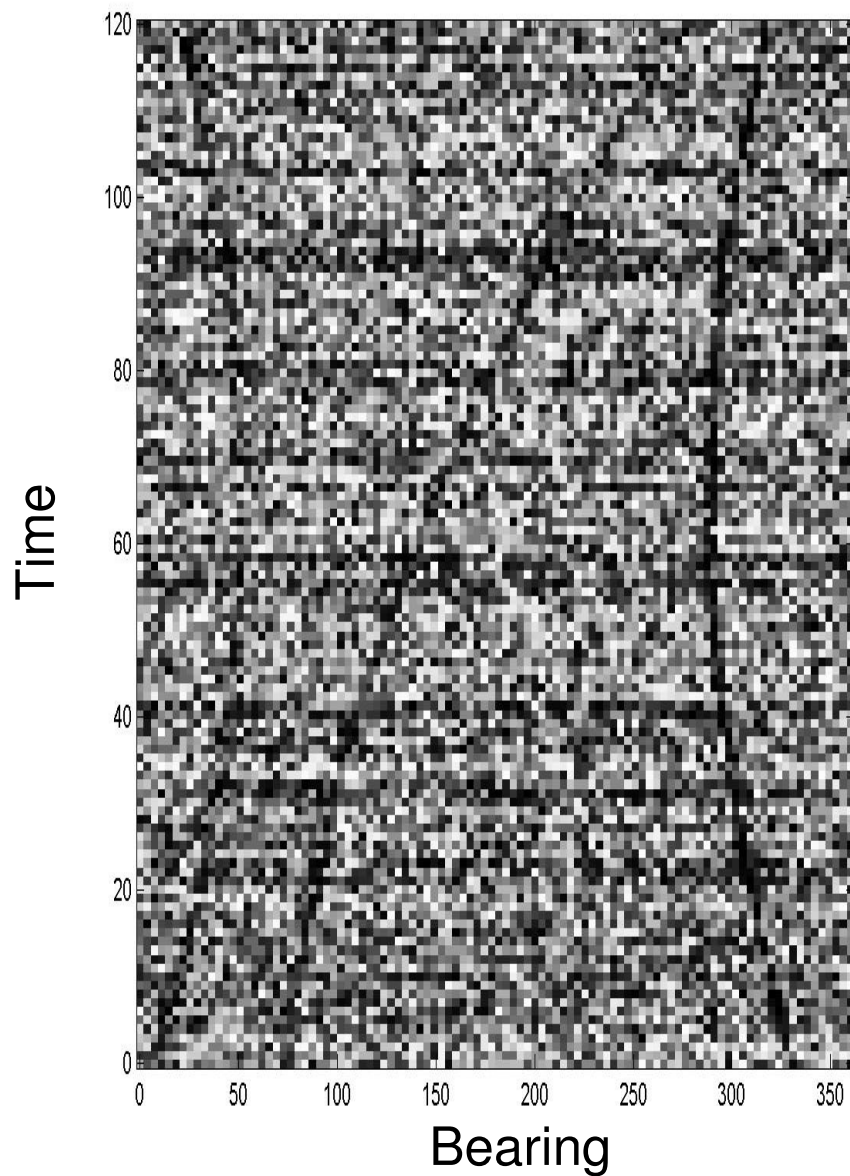


Estimation Algorithm

- **Non-Gaussian noise**
 - Not a problem for filtering, optimality sacrificed
 - Exponentially distributed frequency cells yield Gamma distributed broadband intensities, closely approximated by Gaussian
- **Applied straightforward Kalman filter**
 - Could use smoother, MLE or other
 - Relatively high dimensionality compared to traditional trackers
 - n -vector measurement
 - $km+1$ vector state
- **Covariance decoupling**
 - If prior covariance is decoupled, so is much of the processing
 - Kalman gain can be performed with a $km+1$ vs. n dimensional inversion
 - Output covariance is fully coupled, but little performance penalty seen from extracting target blocks to form a decoupled prior for the next update

Intensity Data

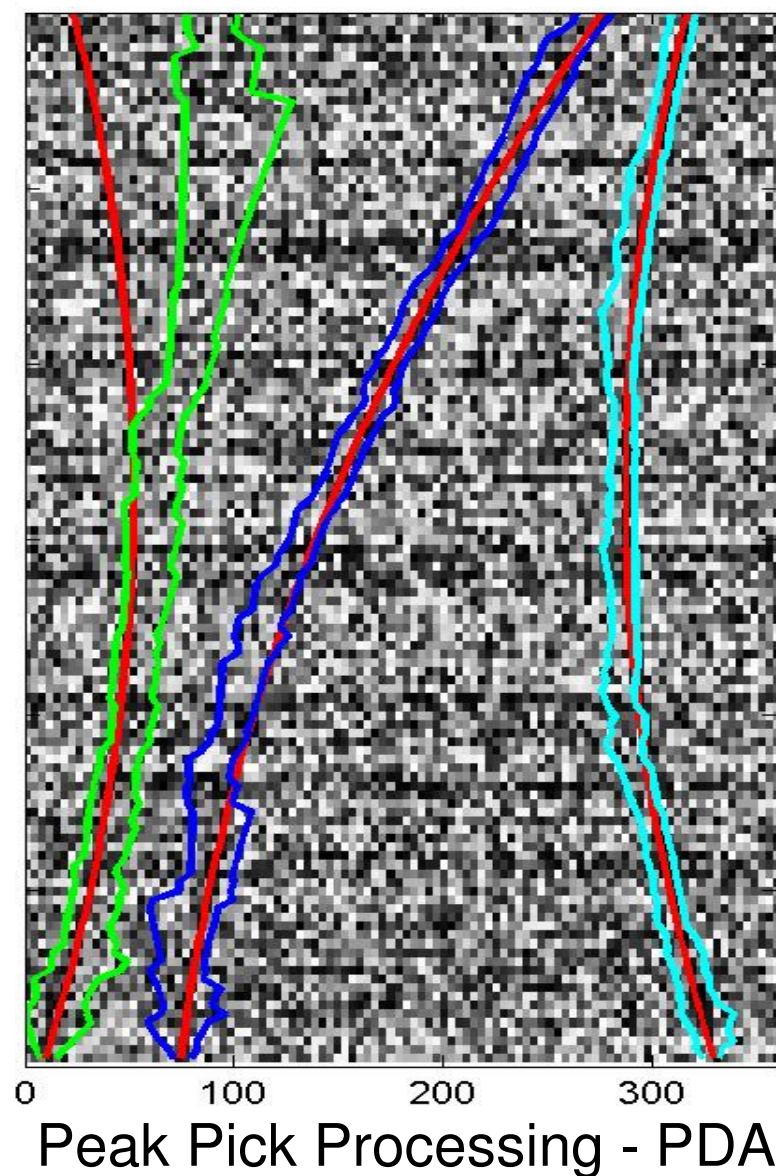
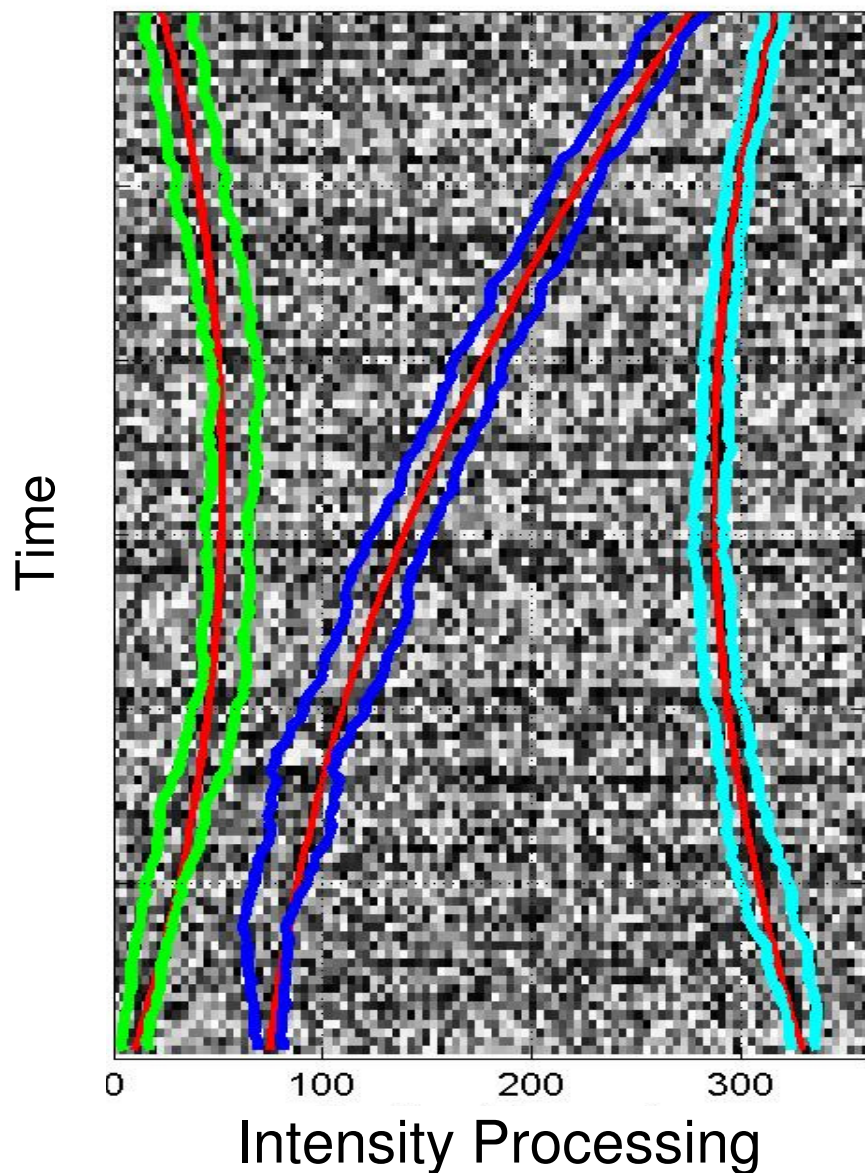
Narrow Targets, Increasing Intensity



Single Target Tracking Results

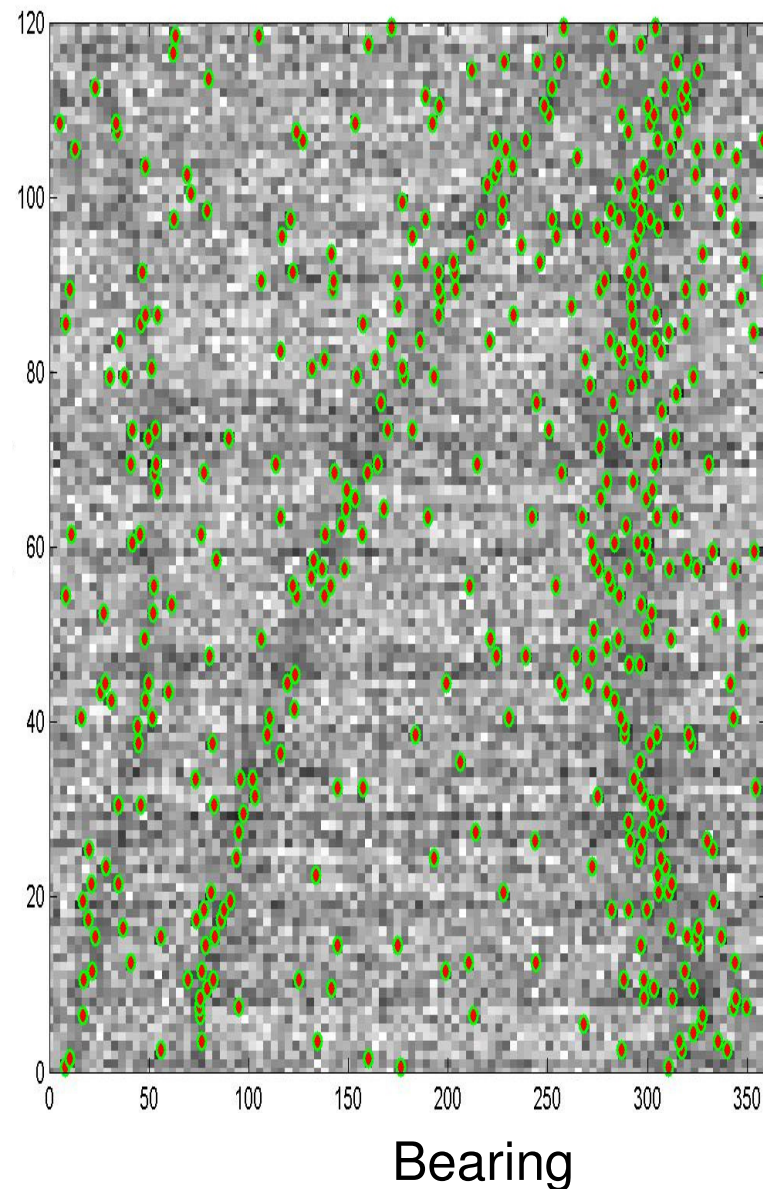
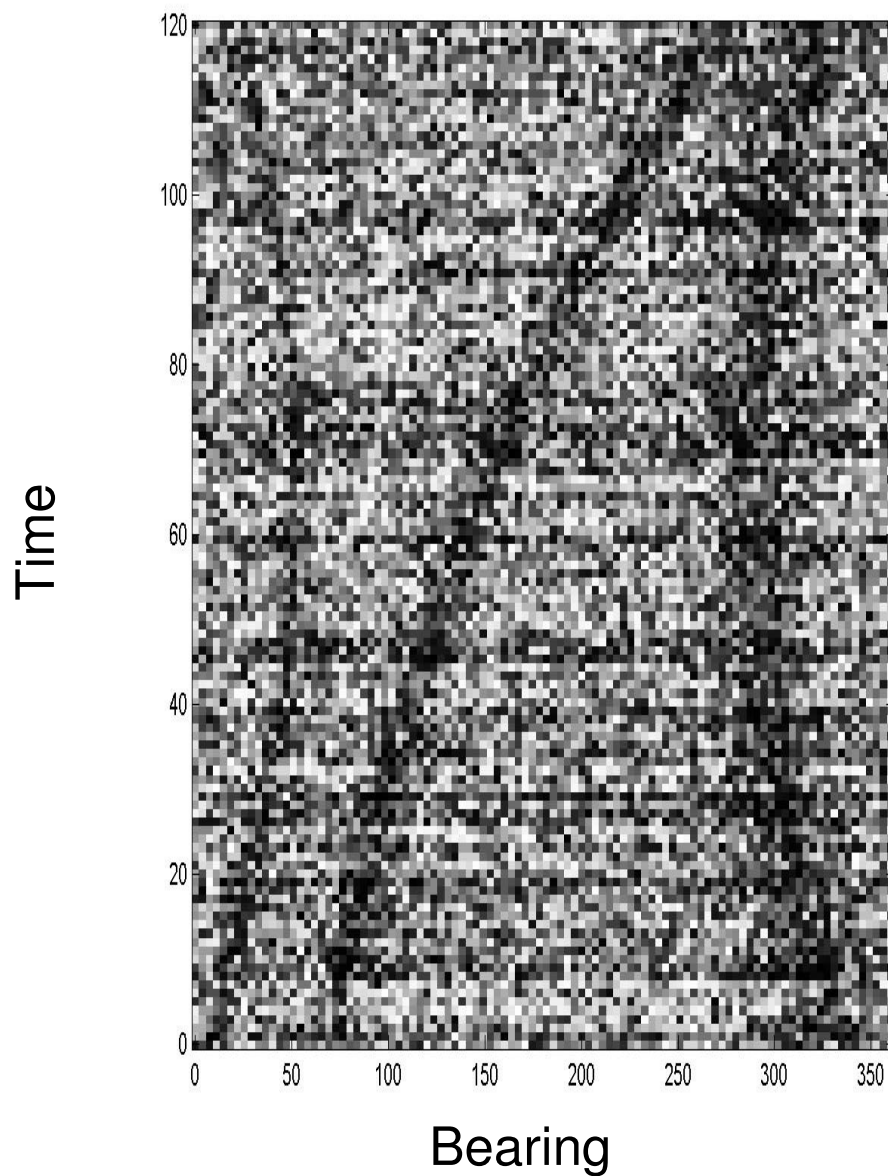
Narrow Targets, Increasing Intensity

Estimated ± 3 sigma overlaid on True Bearing



Intensity Data

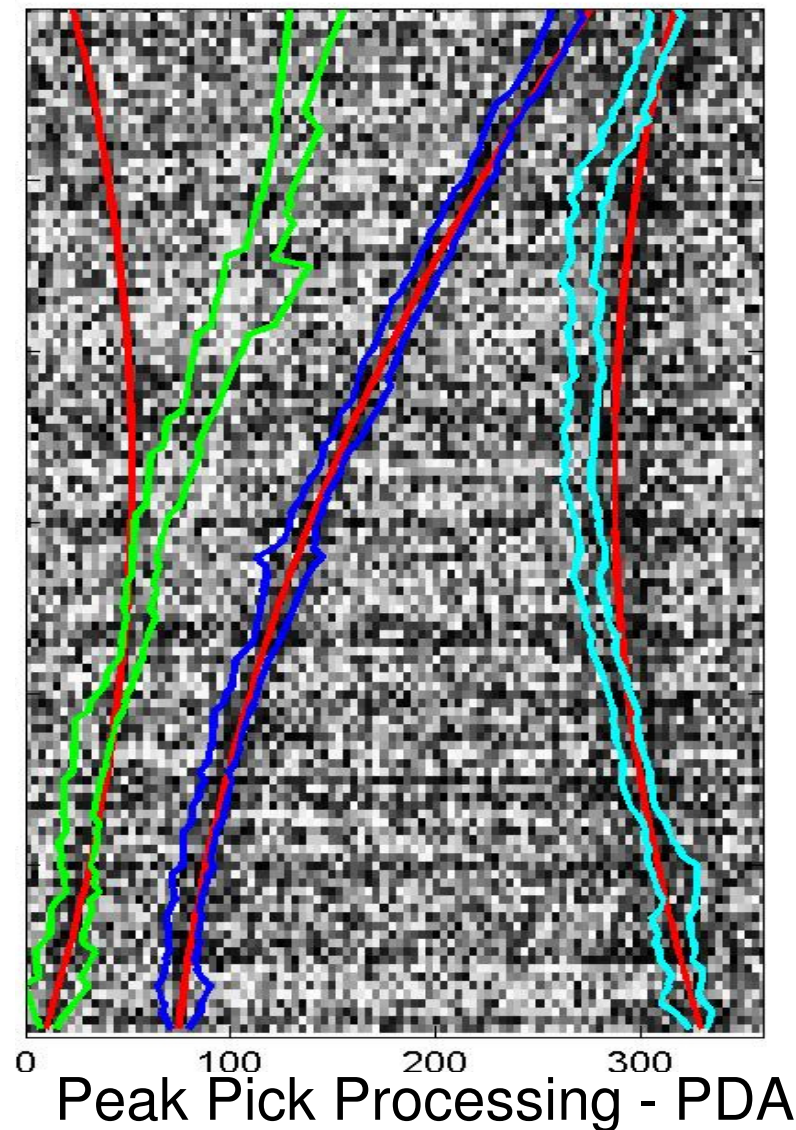
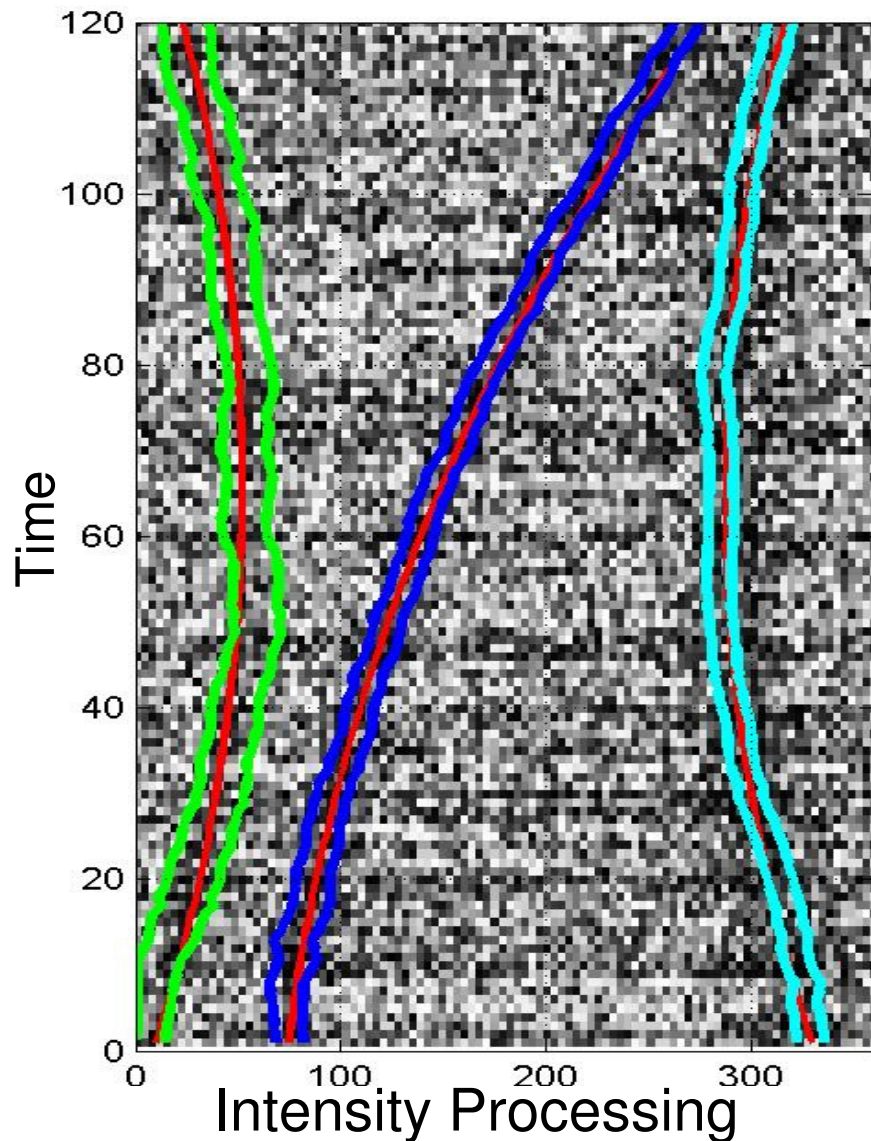
Fixed Amplitude, Varying width Targets



Single Target Tracking Results

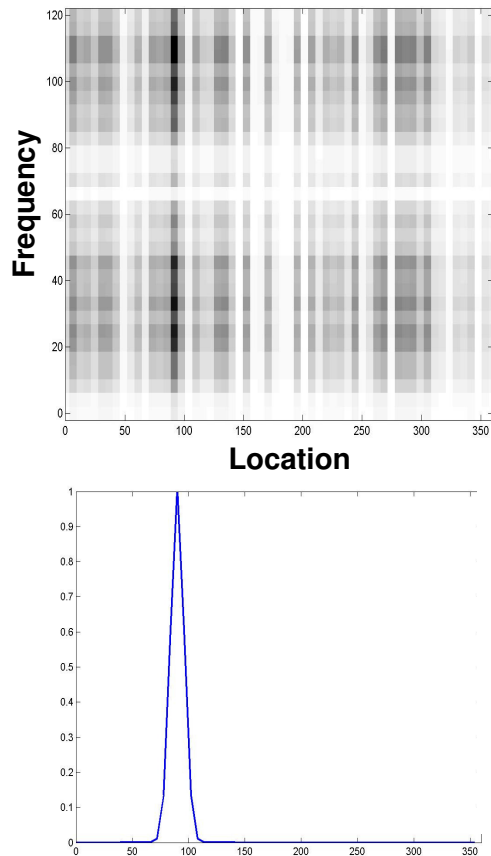
Fixed Amplitude, Varying width Targets

Estimated ± 3 sigma overlaid on True Bearing



Improving SNR & Separability

Data Scan



Non - parametric model of target spectral characteristics :

$$S_t^j = \{s_{t,1}, s_{t,2}, \dots, s_{t,k}\}^T$$

Outer product forms model of frequency - azimuth image :

$$\text{FRAZ} = h_i(x_t^j)^T S_t^j$$

Parametric model of spatial location :

$$h_i(x_t^j) = I_t^j \exp\left\{-\frac{1}{2} \frac{(\beta_i' - \beta_t^j)^2}{\gamma_t^j}\right\}$$

- Hold S^j fixed, estimate x^j
- Given estimate of x^j , estimate S^j as a weighted average over beams, weighting based on $h(x^j)$

Summary

- **Initialization requires detection, but tracking does not**
- **Superposition model results in an implicitly multitarget algorithm, no combinatorial problems**
- **Simple model admits simple processing**
- **Filter dimensionality is not a problem, simplifying approximations can make processing even simpler**
- **Provides reliable track bearing quality outputs**
- **“Self tuning”**
- **Tracks over-resolved targets without modification**